

Characterization of Breast Masses Selecting Novel Shape and Texture Features with Multi-Objective Feature Selection Technique

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Abstract

Masses are one of the important yet challenging signs of breast cancer, visible in the mammogram. The paper presents a novel mass classification scheme via the introduction of new feature selection algorithm along with feature extraction technique. To capture complete and complex shape, we propose Translation, Rotation, and Shift (TRS) invariant Zernike moments as global shape descriptor. The extracted features are further clubbed with texture information. The discriminating features are then selected with a new wrapper-based feature selection scheme combined with multi-objective Non-dominated Sorting Genetic Algorithm (NSGA-II) where three objectives are optimized simultaneously. The experiments show that the proposed three objective functions allow the NSGA-II to reduce the feature dimensionality from 312 to four, while significantly outperforming classifiers trained on features with high dimensionality. With a set of four features, the method achieves the best area under the receiver characteristic curve of 0.95 and an accuracy of 89.89% using an artificial neural network for 270 randomly selected images from the DDSM database.

Keywords: Mass classification, mammograms, feature selection, multi-objective optimization

1. Introduction

Breast cancer is the most common cancer among women worldwide with nearly 1.7 million new cases estimated in 2012 [1]. It is also the most common cause of cancer related

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4 mortality among women in developing countries (such as Cambodia, Nepal, and Rwanda)
5 and the second most common cause of cancer mortality, followed by lung cancer, among
6 women in developed countries [1]. This can be largely attributed to non-availability of
7 early detection facilities in the form of screening examinations [2]. Mammography has
8 long been a tool of choice to perform screening examination for early detection of breast
9 cancer [3, 4, 5]. Abnormalities seen in mammograms are of four types – masses, calcifi-
10 cations, architectural distortion, and bilateral assymetry. Often radiologists falsely flag an
11 abnormality as cancer or fail to detect sign of cancer due to fatigue causing from inspec-
12 tion of large number of images daily, imperfect imaging, or subtle nature of abnormalities
13 [5]. Since 65-90% of surgeries of suspected cancers turn out to be benign [5], the need is
14 evident for an accurate Computer Aided Diagnosis (CADx) system to assist radiologists
15 as second reader in distinguishing between benign and malignant abnormalities. It has
16 been shown that detection sensitivity without CAD is 80%, while with CAD it is 90%
17 [6]. Among all the mammographic-abnormalities, masses are one of the common signs of
18 breast cancer [7]. However, the detection and diagnosis of masses is a challenging task
19 due to their subtlety and variable appearance [8]. A large number of algorithms have been
20 developed for various stages in automatic screening like segmentation, feature extraction,
21 and classification [9], but still more research is needed in this area to further improve
22 detection and classification accuracy. The most current research is targeted towards de-
23 velopment of a CADx system for diagnosing breast masses in mammograms with a fine
24 focus on the feature extraction stage [8].

25 In this research, we propose a mammographic mass classification system. The contri-
26 bution in this work lies in feature extraction and selection phases. In the *feature extraction*
27 stage we utilize translation, rotation and scale invariant (TRS invariant) Zernike Moments
28 as shape descriptors, the use of which to our knowledge is not proved extensively in the lit-
29 erature. Although the investigation of Zernike Moments in earlier works [10, 11, 8, 12, 13]
30 for the classification of masses into benign and malignant have been performed by using
31 rotation invariant magnitudes of moments extracted from translation and scale normal-
32 ized input images, these extracted moments do not form a complete and complex set of
33 features [14]. In our work, we investigate the use of Zernike Moments as global shape
34 descriptors which are made invariant to TRS transform using the methods described in
35 [15], discussed in the subsequent sections. Apart from the shape-based features, texture
36 and orientation features are also extracted in the form of Haralick’s features calculated
37 from Gray Level Co-occurrence Matrix (GLCM) [16] and Angle Co-occurrence Matrix
38 (ACM) [17]. *Feature selection* has been shown to improve classifier performance while
39 preserving robustness [18, 5]. The important features are then selected with a newly pro-
40 posed feature selection scheme based upon a multi-objective evolutionary algorithm which
41 minimizes a novel set of three objective functions—*feature dimensionality*, *classification*

42 *error*, and a newly developed mutual information based objective function designed to en-
43 sure maximum relevancy and minimum redundancy of the selected feature subset using
44 Non-dominated Sorting Genetic Algorithm II (NSGA-II) [19]. The introduction of three
45 objectives for feature selection, solving them with NSGA-II, and evolving a pareto optimal
46 solution find highly representative and non-redundant feature subsets that hold significant
47 representation power for accurate classification of benign and malignant masses. Hence,
48 our contribution in this study can be summarized as the investigation of TRS invariant
49 Zernike Moments as global shape descriptors, analysis of the combination of TRS in-
50 variant Zernike Moments with texture and orientation features, and introduction of a new
51 feature selection method with three objective functions for the classification of mammo-
52 graphic masses as benign and malignant.

53 The rest of the paper is organized as follows; Section 2 provides a brief discussion
54 about the existing features followed by the recent state-of-the-art feature selection tech-
55 niques proposed in connection with mammographic mass classification, Section 3 de-
56 scribes the database used for this study, Section 4 describes our methodology in detail.
57 Section 5 presents the results and corresponding discussion of the proposed approach, and
58 lastly Section 6 concludes the paper with a summary of the work undertaken in this study.

59 **2. Related Work**

60 According to BIRADS [20], the most prominent clues of malignant mass lies in its
61 shape, texture, and sometimes the directional patterns of its boundary. A benign mass is
62 generally of oval or round in shape with well defined margin and low density, whereas
63 a malignant mass may generally be of ill-defined shape with spiculated margin and high
64 density as shown in Figure 1. Based on these characteristics different texture [21, 22]-,
65 shape [23, 24, 25]-, and margin [26, 27]-based features have been proposed by the re-
66 searchers to categorize masses as benign and malignant. Liu et al. extracted Haralick’s
67 features from Gray Level Co-occurrence Matrix (GLCM), obtained from mass region [28].
68 An area under the receiver operating characteristic (ROC) curve (A_z value) of 0.98 was ob-
69 tained using Haralick’s feature extracted from GLCM and Optical Density Co-occurrence
70 Matrix (ODCM) [29]. A mass classification scheme using texton features was reported in
71 [30]. A set of fifteen first and second order statistical textural features were calculated by
72 Vani et al. [31], which delivered an accuracy (A_{cc}) of 91%. Muramatsu et al. analyzed
73 radial local ternary patterns and obtained an A_z value of 0.90 with 376 regions of inter-
74 est (ROIs) [32]. Recently, Rabidas et al. introduced Discriminative Robust Local Binary
75 and Discriminative Robust Local Ternary Pattern for distinguishing benign and malignant
76 masses [33]. Some researchers utilized oriented tissue patterns for the characterization
77 of masses as benign and malignant. Using Gabor filter to extract features at different

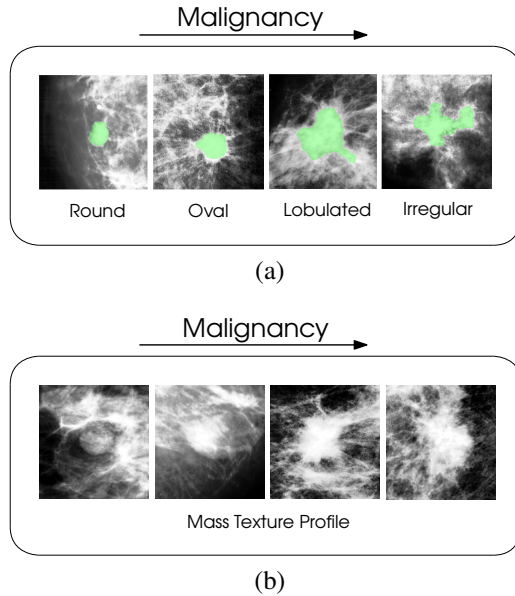


Figure 1: (a) Typical shapes and (b) texture exhibited by masses seen in mammograms [20].

78 orientations and frequencies, Buciu and Gacsadi obtained an A_z value of 0.78 with 322
 79 normal and abnormal cases [34]. Analyzing the oriented tissue patterns of three regions
 80 around masses using Angle Co-occurrence Matrix (ACM) [35], Chakraborty et al. clas-
 81 sified masses [36, 37]. Zhang et al. [38] built an ensemble system of classifiers based
 82 upon shape features extracted from the mammographic masses. Tahmasbi et al [8] used
 83 Zernike Moments as shape descriptors for the classification of masses in mammograms.
 84 Many researchers clubbed shape- and texture-based features for mass classification. An
 85 A_z value of 0.96 for 160 ROIs using Zernike moments and local binary pattern has been
 86 reported [39]. Azizi et al. obtained A_{cc} of 89.90% for malignant cases and 87.40% for be-
 87 nign masses with 200 test images [40]. Sahiner et al. combined different texture-features
 88 and morphological features and reported an A_z value of 0.91 utilizing 249 mammograms
 89 [41].

90 To obtain better classification accuracy, some recent techniques have been developed
 91 in transform domain [42, 43, 23]. Using wavelet and curvelet transform, an A_{cc} of 97.30%
 92 with 161 patients was reported in [43]. Gorgel et al. [23] proposed a local seed region
 93 growing for the detection of regions of interest in mammogram, followed by spherical
 94 wavelet transform for feature extraction. Beura et al. [44] proposed to use GLCM and
 95 2D-DWT as features along with a t-test based feature selection method. Dhahbi et al.
 96 [45] proposed a feature extraction method by converting the image to discrete curvelet
 97 transformed domain and calculating the first four order moments from the distribution of

98 curvelet coefficients.

99 In most of the existing mass classification techniques, after the extraction of features,
100 non-redundant and discriminative features were selected using several feature selection
101 schemes, such as mutual information based feature ranking [46], stepwise regression [47],
102 forward and backward Selection based methods [48, 49, 50], and ReliefF [51], Genetic
103 Algorithms (GA) [52], Particle Swarm Optimization (PSO) [53, 54], Cuckoo Search (CS)
104 [55], and support vector machine (SVM) based Recursive Feature Elimination (SVM-
105 RFE) [56]. The performance of classifier in many folds is dependent on the proper selec-
106 tion of feature set. Liu et al. introduced a features selection scheme using SVM and recur-
107 sive feature elimination after the extraction of geometric and texture features to classify
108 the masses [56]. Mencattini et al. [57] extracted geometrical features like area, perimeter
109 of boundary, radius, circularity etc. along with textural features to classify the masses af-
110 ter selecting the discriminating features via ranking them using ROC and A_z metrics. A
111 feature selection scheme using particle swarm optimization was reported in [53]. A semi
112 supervised relief based feature selection scheme for mass classification was introduced by
113 Liu et al. [58]. Dong et al. [59] extracted shape, margin, texture, and intensity features
114 from the segmented mass and its surroundings regions and use multiple classifiers like
115 SVM, GA-SVM, PSO-SVM to compare their classification performance. As feature ex-
116 traction as well as feature selection schemes, reported hitherto, delivers a mixed bag of
117 performance, scope for further improvement exists.

118 3. Database

119 The Digital Database for Screening Mammography (DDSM) [60], collected at the
120 University of South Florida, is a publicly available mammography database containing 43
121 volumes of total 2,620 cases with both mediolateral-oblique and cranialcaudal views of
122 each breast. All the cases are supplied with associated patient information (age at time of
123 study, ACR breast density rating, subtlety rating, and approximate boundary of the abnor-
124 mality, etc.) and image information (scanner, spatial resolution, etc.) [60]. We randomly
125 selected 270 images from the database, of which 144 images were diagnosed with be-
126 nign and 126 with malignant masses. The selected images are with spatial resolutions of
127 $50 \mu\text{m}/\text{pixel}$, $42 \mu\text{m}/\text{pixel}$, and $43.5 \mu\text{m}/\text{pixel}$. All the selected images were converted
128 from LJPEG format to PGM and normalized against different scanners using our own
129 software which was released as open source software at [http://www.github.com/](http://www.github.com/trane293/DDSMUtility)
130 `trane293/DDSMUtility`.

131 4. Proposed Method

132 Based on the observation that shape, texture, and margin characteristics of masses
133 carry important information to distinguish between benign and malignant masses, in this
134 study, we investigate these characteristics by extracting three different feature sets—TRS
135 invariant Zernike Moments as global shape descriptors, Haralick’s features from GLCM
136 and ACMs to capture texture and orientation patterns, from different regions associated
137 with mass. After feature extraction, the most informative and non-redundant features are
138 selected using the proposed NSGA-II-based feature selection scheme minimizing three
139 objective functions. The selected features are then used to classify benign and malignant
140 masses. A schematic diagram of the proposed mass classification method is shown in
141 Figure 2.

142 4.1. Pre-processing

143 Since, the performance of features, specially shape and margin-based descriptors,
144 highly depends on proper segmentation of mass region, the proposed method uses Chan-
145 Vese [61] algorithm, due to its effective performance, to obtain fine boundary considering
146 database provided boundary as the initial contour. For the application of Chan-Vese algo-
147 rithm, an ROI is selected by cropping the input mammogram with an offset of δ mm on
148 all sides of the initial boundary marked by the radiologist. Let Y be the set of all pixels in
149 the approximate mass boundary given by the radiologist, X be the set of all pixels on the
150 image boundary. Also let $ib \in X$ be a pixel on image boundary such that $ib \perp ob$, where ob
151 is a pixel $\in Y$, then δ is given as:

$$\delta = \begin{cases} x \text{ mm}, & \text{if } |ib - ob| > x \\ & \forall ob \in Y \text{ and} \\ & \forall ib \in X \\ |ib - ob|, & \text{otherwise.} \end{cases}$$

152 In this study, x is empirically set to 12.5 mm. Once the ROI is obtained, histogram
153 equalization [5] is performed to address the issue of low contrast, which hinders accurate
154 analysis and segmentation of masses, followed by suppression of high-frequency noise
155 using simple median filter [62]. The final ROI obtained after contrast enhancement and
156 median filtering is shown in Figure 3. The image, marked as A_1171_1.LEFT_CC in the
157 DDSM database, has been used to illustrate our approach throughout the paper.

158 4.2. Mass boundary detection using Chan-Vese Active Contour without Edges

159 The Chan-Vese algorithm relies on the internal homogeneity, instead of edge informa-
160 tion. At the very basic, the model evolves a contour by minimizing an energy function

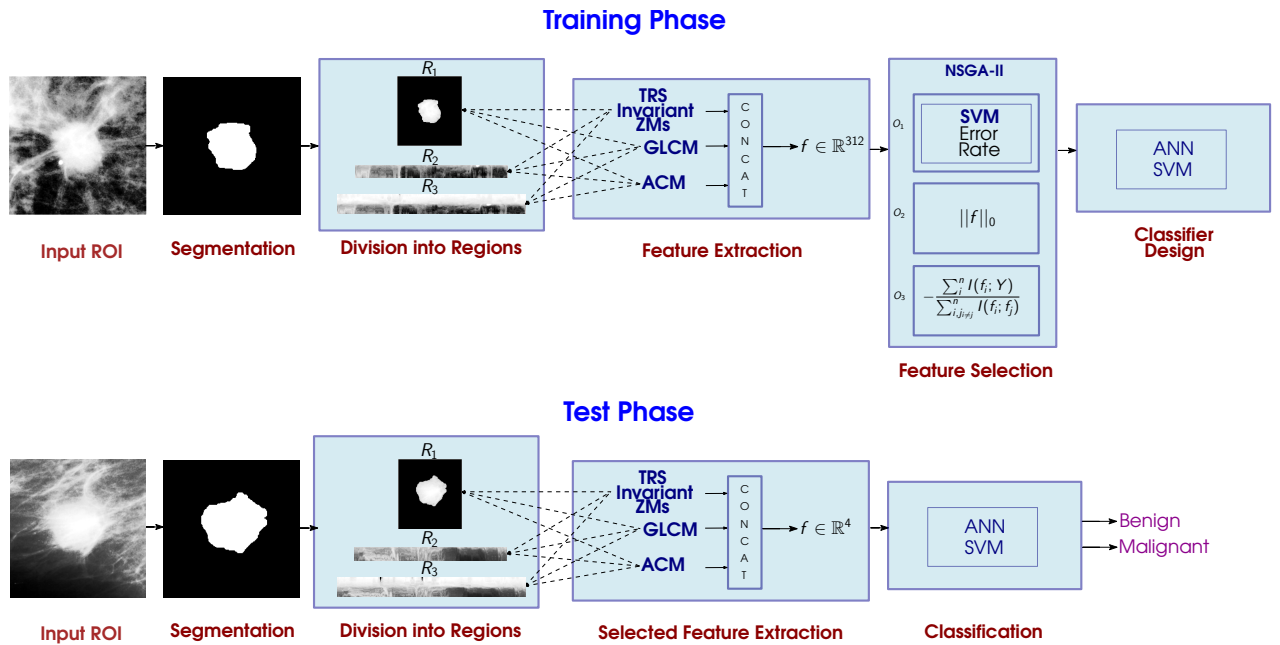


Figure 2: Flowchart illustrating our approach. Top row shows training phase. During test phase, only the selected features are extracted from the three regions, and feature selection step is not present.

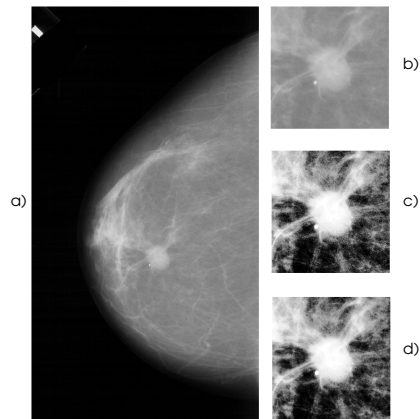


Figure 3: Illustration of the Preprocessing method. *a)* Input mammogram, *b)* Cropped ROI, *c)* ROI after histogram equalization, *d)* ROI after median filtering

161 $F(\phi)$ via a level set method [63], where $\phi(i, j, t)$ represents the current state of the contour
 162 in the sense that the pixel (i, j) of the image plane belongs to the contour if $\phi(i, j, t) = 0$,
 163 where t is the “iteration”.

164 The “fitting energy” function that forms the core of the Chan-Vese active contour
 165 model can be written as:

$$F(\phi) = \mu \left(\int_{\Omega} |\Delta H(\phi)| dx \right)^p + v \int_{\Omega} H(\phi) dx + \lambda_1 \int_{\Omega} |I - c_1|^2 H(\phi) dx + \lambda_2 \int_{\Omega} |I - c_2|^2 (1 - H(\phi)) dx. \quad (1)$$

166 where $\mu, v, \lambda_1, \lambda_2$, and p are user defined parameters; H is the Heaviside function; I is the
 167 image to be segmented; and Ω is the domain of the image. c_1 and c_2 are averages of the
 168 image I in the regions where $\phi \geq 0$ and $\phi < 0$, respectively, given by

$$c_1 = \frac{\int_{\Omega} I \cdot H(\phi) dx dy}{\int_{\Omega} H(\phi) dx dy}, \quad c_2 = \frac{\int_{\Omega} I \cdot (1 - H(\phi)) dx dy}{\int_{\Omega} (1 - H(\phi)) dx dy}. \quad (2)$$

169 The first term of the “fitting energy” function $\mu \left(\int_{\Omega} |\Delta H(\phi)| dx \right)^p$ is incorporated to pe-
 170 nalize the total length of the edge contour for a given segmentation. If a smooth boundary
 171 is expected, this term may be weighed more heavily to avoid finding a complex (and in turn
 172 long) perimeter. Similarly, the second term $v \int_{\Omega} H(\phi) dx$ is a penalty on the total area of
 173 the foreground region found by the segmentation. The third term, $\lambda_1 \int_{\Omega} |I - c_1|^2 H(\phi) dx$,
 174 is directly proportional to the variance of image gray level in the foreground region and
 175 provides a measures of how “uniform” the particular region is in terms of pixel intensities.
 176 The fourth term does the same for background region. Minimization of the sum of these
 177 terms leads to segmentation of an image into maximum possible uniform foreground and
 178 background region. The set of parameters were empirically selected as $\mu = 0.5, v = 0, \lambda_1 =$
 179 $\lambda_2 = 1, p = 1$, and $dt = 0.1$. The extracted boundary and segmented mass region obtained
 180 with the Chan-Vese algorithm for the image shown in Figure 3d is provided in Figure 4b
 181 and 4c.

182 For smoothing of the mass boundary, obtained using Chan-Vese algorithm, the seg-
 183 mented image is subjected to erosion and dilation using a disc shaped structuring element,
 184 given by:

$$I \circ s = (I \ominus s) \oplus s, \quad (3)$$

185 where I is the image and s is the disc shaped structuring element with radius $r = 6$; \ominus

denotes erosion and \oplus denotes dilation operation, respectively.

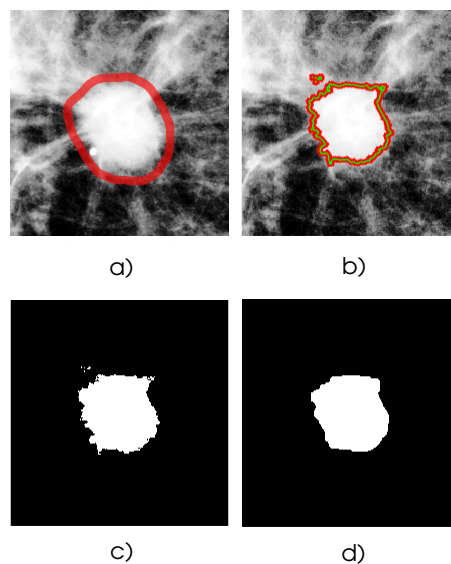


Figure 4: *a)* Initial contour, *b)* Evolved contour with Chan-Vese active contour without edges method, *c)* Raw Chan-Vese mask, *d)* Morphologically operated mask

186

187 4.3. Selection of ROIs for feature extraction

188 Tumor texture plays an important role in mass characterization. Moreover, it has been
 189 consistently observed that the boundary of the masses carry important information of ma-
 190 lignancy [20, 64, 36]. The presence of spiculations over mass boundaries becomes a strong
 191 evidence of malignancy [65, 3]. To effectively capture these characteristics, we define
 192 three regions: R_1 , R_2 , and R_3 , associated with mass [36], as illustrated in Figure 5, where

- 193 • R_1 — The entire mass region,
- 194 • R_2 — A band of pixels outside the mass region.
- 195 • R_3 — A band of pixels enclosing the boundary, equi-distant towards the inner and
 196 outer direction from the mass boundary. The width of R_3 is double to that of R_2 .

197 The extraction of texture- and gradient-based features directly from regions, surround-
 198 ing the mass boundary, is error prone since the tissue patterns inside the mass region is
 199 oriented radially. Similarly, capturing of spiculations arising from the mass boundary is
 200 complicated because of the fact that the direction of spiculations changes with the shape of

201 mass and the curvature of its margin [21]. To overcome these problems, we employ Rub-
 202 ber Band Straightening Transform (RBST) on R_2 and R_3 , proposed by Sahiner et al. in
 203 [21], which maps the band of pixels onto the Cartesian plane in such a way that the bound-
 204 ary of mass appears approximately as a horizontal line and the spiculations as vertical.
 205 Due to these properties, RBST images are proved to be a better alternative for calculation
 206 of features from the surrounding regions of the mass, as compared to direct extraction of
 207 features from the radial image [21].

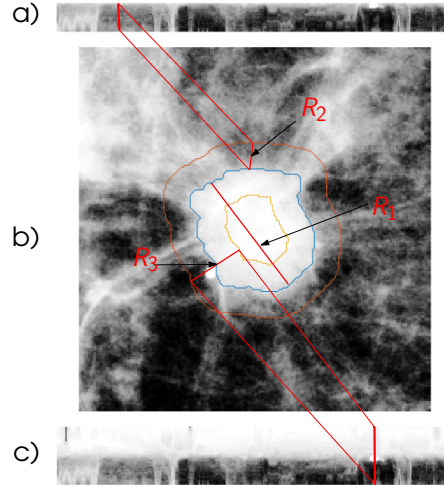


Figure 5: Selection of regions R_1 , R_2 and R_3 . a) RBST transform of region R_2 , b) ROI with illustration of regions R_1 , R_2 and R_3 , c) RBST transform of region R_3 .

208 However, instead of selecting same band width for all masses [21], here we automati-
 209 cally calculated the width of R_2 as a function of the area of segmented mass as proposed
 210 in [36], given by:

$$W = r_c \left(\frac{A_r}{A_c} \right), \quad (4)$$

211 where r_c = radius of the bounding circle, A_r = area of the object, and A_c = area of the
 212 bounding circle. Hence, the width of R_3 is $2W$.

213 4.4. Feature Extraction

214 4.4.1. Extraction of Zernike Moments

215 Zernike Moments (ZM) [66], effective shape descriptor of an object [67, 68], are the
 216 mapping of an image onto a set of complex orthogonal Zernike polynomials which repre-
 217 sent the image with minimum redundancy of information [67]. For a digital image with in-
 218 tensity function $I(x, y)$ at pixel position (x, y) , the ZM of order n and repetition l ($|l| \leq n$)

219 is given by [67]:

$$A_{n\ell} = \frac{n+1}{\lambda_N} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} I(x, y) V_{n\ell}^*(x, y), \quad (5)$$

220 where λ_N is the number of pixels located in the unit circle, used as normalization factor;
 221 $V_{n\ell}$ represent Zernike ploynomial, orthogonal on a unit disk $x^2 + y^2 \leq 1$, and is defined as
 222 –

$$V_{n\ell} = R_{n\ell}(r)e^{i\ell\theta}, \quad |r| \leq 1, \quad (6)$$

223 with

$$R_{n\ell} = \sum_{s=0}^{(n-|\ell|)/2} (-1)^s \frac{(n-s)! r^{n-2s}}{s!((n+|\ell|)/2-s)!((n-|\ell|)/2-s)!}. \quad (7)$$

224 The difference $n - |\ell|$ is always even and the asterisk(*) in equation denotes complex
 225 conjugate. Substituting equation (7) in (6) and then the resulting equation in (5), we get

$$A_{n\ell} = \frac{n+1}{\lambda_N} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} I(x, y) R_{n\ell}(r_{xy}) e^{i\ell\theta}. \quad (8)$$

226 However, these features are sensitive to translation and scaling of ROI [66, 14]. In earlier
 227 works, this problem was tackled by normalizing the images by translating the mass into
 228 the center of the ROI and scaling the ROI to a fixed radius, while to preserve the rotation
 229 invariance, only the magnitude of the moments was used, discarding the complex part of
 230 moments [11, 10, 8, 12, 13].

231 In this study, we investigate the use of a complete and complex set of ZMs invariant
 232 to translation, scaling, and rotation (TRS) which eliminates the need of normalizing the
 233 ROI, before feature extraction. The detailed description of steps involved in computing
 234 the proposed TRS invariant moments are described in the subsequent sections.

235 In this study, invariance to TRS transforms is achieved using the following methods:

236 *Invariance to Translation.* Instead of normalizing images against translation by shifting
 237 the mass at the image center as in earlier works, the present work calculates translation
 238 invariant ZMs considering origin at the centroid of the mass lesion (x_c, y_c) to reduce the
 239 computational complexity [15].

Invariance to Scaling. The scaling of mass to a predefined radius leads to the loss of shape
 information as the co-scaling procedure incorporates re-sampling and re-quantization of
 the image. To address this issue, we normalize the ZMs with respect to central moment as

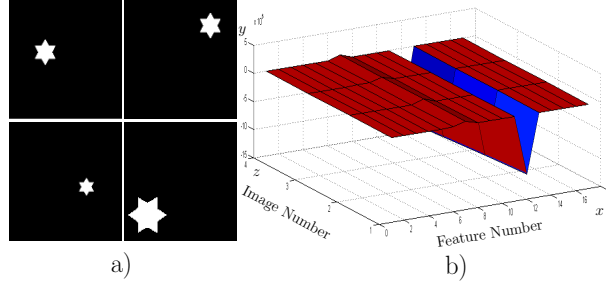


Figure 6: Invariance of ZMs upto order $n = 5$ towards TRS transformations. a) 4 test images with applied transformations, b) graph showing negligible change in value of moments (y -axis) with respect to changing images (z -axis).

proposed by Ye et al. [14] which can be expressed as

$$A_{nl}^s = \frac{A_{nl}}{\mu_{00}}, \quad \text{where } \mu_{00} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(x, y) dx dy$$

240 *Invariance to Rotation.* In many applications, rotation invariant ZMs have been extracted
 241 by using magnitudes of the moments as they are invariant to rotation [69, 14]. But the
 242 extracted set of moments, having limited recognition power, do not form a complex set of
 243 features. [15]. In this study, the rotation normalization method proposed by Flusser et al.
 244 [15] has been used to extract complete rotation invariant ZMs. The ZMs, extracted from
 245 the ROI, are normalized using a proper nonzero normalizing moment, found by searching
 246 the ZMs with repetition $\ell = 1$, ie. $A_{31}, A_{51} \dots A_{n_{max}1}$. If they are found to be under the
 247 chosen threshold (in this study $thres = 1e - 3$), we suppose the object to be rotationally
 248 symmetric and then search the moments with successively increased repetitions 2, 3, etc.
 249 for the first non-zero moment. For nonsymmetric objects, we choose the normalizing
 250 moment as A_{31} as proposed in [15]. Now, if the normalizing moment $A_{m_r \ell_r}$ has a phase –

$$\phi = \frac{1}{\ell_r} \arctan \left[\frac{Im(A_{m_r \ell_r})}{Re(A_{m_r \ell_r})} \right] \quad (9)$$

251 then:

$$\bar{Z}_{nl} = \frac{A_{nl}}{(A_{m_r \ell_r})^{\ell/\ell_r}} \quad (10)$$

252 is the required rotation invariant [15].

253 The invariance of our extracted ZMs is illustrated in Figure 6 using four synthetic im-
 254 ages, containing different versions of the same underlying image (star), after application of

Table 1: Features and their respective indices in the concatenated feature vector

Feature Name	GLCM	GLCM	GLCM	ACM1	ACM1	ACM1	ACM2	ACM2	ACM2	INVTS	INVTS	INVTS
Region	R_1	R_2	R_3	R_1	R_2	R_3	R_1	R_2	R_3	R_1	R_2	R_3
# Features	14	14	14	14	14	14	14	14	14	62	62	62
Index in F	1–14	15–28	29–42	43–56	57–70	71–84	85–98	99–112	113–126	127–188	189–250	251–312

transformations in the form of translation, rotation, scaling, and all together. As illustrated in the Figure 6b, the values of moments (y axis) show negligible change with respect to different images (z axis). We explore TRS invariant ZMs upto order $n = 10$ i.e. a total of 62 ZM features are extracted from each region.

4.4.2. Gray-Level Co-occurrence Matrix (GLCM)

To capture the intensity-based texture characteristics of mass and its surrounding regions, we compute Gray-Level Co-occurrence Matrix (GLCM), proposed by Haralick et al. [16], from all the three regions. The image gray levels are quantized to 256 levels. Four GLCM matrices are computed with angles 0° , 45° , 90° , 135° , and pixel distance $d = 1$, then averaged to form a final matrix, from which 14 Haralick’s features are computed.

4.4.3. Angle Co-occurrence Matrices

Angle Co-occurrence Matrices (ACMs) were first introduced by Chakraborty et al. [17, 35] to quantify oriented edge patterns of mammogram for the detection of architectural distortion via computing joint occurrences of orientation angles of tissue structures. The matrices are defined as:

$$ACM1_{(\ell,\theta)}(i, j) = \frac{P_a(i, j)}{\sum_{i=1}^{N_\theta} \sum_{j=1}^{N_\theta} P_a(i, j)}, \quad (11)$$

$$ACM2_{(\ell,\theta)}(i, j) = \frac{P_m(i, j)}{\sum_{i=1}^{N_\theta} \sum_{j=1}^{N_\theta} P_m(i, j)}, \quad (12)$$

where $P_a(i, j)$ counts the number of occurrences of a pixel pair with the orientation angles i and j , separated by (ℓ, θ) ; $P_m(i, j)$ is the sum of the gradient magnitude responses of all the pixel pairs having angles i and j , separated by a distance ℓ at an angle θ . N_θ is the number of quantized angle levels.

In this study, ACMs are computed from gradient magnitude and angle information, obtained by applying a 3×3 Sobel filter on the image. The average of four ACMs, computed with $l = 1$ and $\theta = 0^\circ$, 45° , 90° , and 135° after quantizing the orientation angle into $N_\theta = 64$ bins, are considered as the final rotation invariant ACM matrices to extract 14 haralick’s features.

279 A total set of 312 features, extracted from all the regions are concatenated to form one
 280 single vector $F \in \mathbb{R}^{312}$ as shown in Table 1.

281 4.5. Feature Selection

282 The feature vector, obtained by concatenating all features from R_1 , R_2 , and R_3 regions
 283 is of high dimension ($F \in \mathbb{R}^{312}$) which increases the computational complexity of the
 284 classifier. Moreover, some features may carry redundant information. Hence, selection of
 285 optimum feature set is important. In this study, we propose feature selection scheme to
 286 optimize three objectives simultaneously, designed to select discriminating features, using
 287 a Non-dominated Sorting Genetic Algorithm (NSGA-II).

288 The first objective function used is the classification error, which is an accepted perfor-
 289 mance measure with low values denoting better performance. We wrap an SVM classifier
 290 with ten-fold cross-validation in our proposed feature selection technique to measure the
 291 classification error, which can be written as

$$O_1 = \frac{FP + FN}{TP + FP + TN + FN}, \quad (13)$$

292 where TP , FP , TN , and FN represent true positives, false positives, true negatives, and
 293 false negatives, respectively.

294 Using a subset $f \subset F$ for classification can keep the performance robust. More-
 295 over, small feature dimensionality reduces computational complexity in both train and test
 296 phases. Hence, for keeping the feature dimensionality minimum while retaining a good
 297 classification performance, we propose to use the cardinality of the evolved feature subset
 298 as our second objective function as given below,

$$O_2 = \|f\|_0. \quad (14)$$

299 In addition to these criteria, a new objective function is designed to select a non-
 300 redundant and highly representative subset of features via maximizing the mutual infor-
 301 mation between the features and the class variable (maximum relevancy) and minimizing
 302 the mutual information between the features themselves (minimum redundancy) and is
 303 defined as:

$$O_3 = -\frac{\sum_i^m MI(f_i; Y)}{\sum_{i,j_i \neq j}^m MI(f_i; f_j)}, \quad (15)$$

304 where $Y = [C_1, C_2, \dots, C_k]$ is the set of classes (in our study $k = 2$), f_i is the i^{th} feature
 305 variable; m is the number of features, and mutual information between two variables X

306 and Y is

$$MI(X; Y) = \sum_{y \in Y} \sum_{x \in X} p(x, y) \log \left(\frac{p(x, y)}{p(x)p(y)} \right). \quad (16)$$

307 These three objective functions ($\mathbb{O} = \{O_1(\vec{f}), O_2(\vec{f}), O_3(\vec{f})\}$) are then simulta-
 308 neously minimized. However, in multi objective optimization (MOO) problem, a single
 309 solution, satisfying all objectives, may not be always possible. In this case, the objective
 310 functions are said to be conflicting and are generally solved by determining a number of
 311 Pareto optimal solutions. The MOO setting can be formally stated as follows:

312 Let \mathbb{O} be a set of m objective functions that are required to be simultaneously opti-
 313 mized,

$$\mathbb{O} = \{O_1(\vec{f}), O_2(\vec{f}), \dots, O_m(\vec{f})\}, \quad (17)$$

314 where \vec{f}^* is the vector of decision variables given as

$$\vec{f}^* = [f_1^*, f_2^*, \dots, f_r^*] \quad (18)$$

315 and r is the dimensionality of the variables (number of features in this case). Constraints
 316 in an MOO problem define a feasible region \mathcal{F} containing all admissible solutions. In
 317 general, the scalar concept of optimality does not apply for MOO. An objective vector $\vec{\mathbb{O}}_1$
 318 is said to *dominate* another objective vector $\vec{\mathbb{O}}_2$ (i.e., $\vec{\mathbb{O}}_1 < \vec{\mathbb{O}}_2$) if no component of $\vec{\mathbb{O}}_1$ is
 319 greater than the corresponding components of $\vec{\mathbb{O}}_2$. Henceforth, a solution \vec{f}^* is called as
 320 Pareto optimal solution of the given set of objective functions, \mathbb{O} , if and only if there is no
 321 other $\vec{f}^\#$ that dominates \vec{f}^* .

322 The NSGA-II [19] is a widely used MOO algorithm due to its good spread of solu-
 323 tions with convergence near the true Pareto-optimal front, less-niching, simple constraint
 324 handling strategy [70], and lower computational complexity of $O(MN^2)$, where M is the
 325 number of objectives and N is the population size. The algorithm uses an evolutionary
 326 process, where at each iteration a population of candidate solutions, known as chromo-
 327 somes, is evolved towards better solution via generating child population using selection,
 328 crossover, and mutation operations. In this study, chromosomes are represented by bit
 329 stream where each bit represents the selection (1) or rejection (0) of a feature. There-
 330 fore, the length of chromosome is 312, same as the number of total features (N_f). Once
 331 mutated, the next population is constructed by combining the parent and child population
 332 and carrying out the non-dominated sorting based on the objective functions and crowding
 333 distance. Similarity between members of each sub-group is evaluated on the Pareto front,

334 and the resulting groups and similarity measures are used to promote a diverse front of
 335 non-dominated solutions [71]. The method is stopped when a stopping criteria (function
 336 improvement tolerance $\delta = 1e^{-4}$) or maximum number of generations is reached and the
 337 highest ranked Pareto solutions are considered as the final set of solutions. The proposed
 338 feature selection method is described in Algorithm 1. A population (*pop*) of size 200,
 339 denoted by N_{pop} , is randomly initialized from a uniform distribution. The number of gen-
 340 erations (T), crossover probability (P_c), and mutation probability (P_m) are empirically set
 341 to 200, 0.8, and 0.01, respectively. The selected features are then used to perform classi-
 342 fication between benign and malignant masses and the best performing Pareto solution is
 chosen.

Algorithm 1 NSGA-II based Feature Selection

Input: $N_{pop}, P_c, P_m, \delta, T, Train$ (Training data), C_L (Class Labels)

Output: optimum set of features, $\vec{f}^* = [f_1^*, f_2^*, \dots, f_r^*]$

```

1: generation  $t \leftarrow 0$ ;
2:  $pop_0 \leftarrow$  Initialize parent population of size  $N_{pop}$ 
3: Evaluate objective functions ( $O_1, O_2, O_3$ ) for each chromosome  $\in pop_0$ 
4: Sort  $pop_0$  based on non-domination sorting
5: while  $tol > \delta$  &  $t \leq T$  do
6:   Create child population (Child) using i) tournament selection, ii) crossover, and iii)
   mutation
7:   Evaluate objective functions for each chromosome  $\in Child$ 
8:   Merge parent and child population ( $Union = Pop \cup Child$ )
9:   Construct all non-dominated front sets Fronts using Union
10:   $pop_{t+1} \leftarrow \phi$ 
11:   $Front_L \leftarrow \phi$ 
12:  for all ( $Front_i \in Fronts$ ) do
13:    if ( $Size(pop_{t+1}) + Size(Front_i) < N_{pop}$ ) then
14:       $pop_{t+1} \leftarrow pop_{t+1} \cup Front_i$ 
15:    else
16:      calculate crowding distance in  $Front_i$ 
17:      sort  $Front_i$  based on crowding distance
18:       $pop_{t+1} \leftarrow pop_{t+1} \cup N_{pop} - |pop_{t+1}|$  elements of  $Front_i$ 
19:    end if
20:  end for
21:   $t \leftarrow t + 1$ 
22: end while
23: return Children representing  $\vec{f}^*$ 

```

343

344 4.6. Classification

345 In this study we use two classifiers namely Artificial Neural Network (ANN) and Sup-
346 port Vector Machine (SVM) for the classification of masses as benign or malignant. A feed
347 forward neural network with one hidden layer of five neurons is used. The number of hid-
348 den layers and neurons in the network are selected using random search. The weights and
349 bias values of the network are updated according to the Levenberg-Marquardt optimiza-
350 tion rule. For SVM, we use an RBF kernel. To avoid bias, the average results obtained
351 with ten repetitive runs of the ten-fold cross-validation is considered for the performance
352 evaluation.

353 5. Results and Discussion

354 The proposed method of benign-malignant mass classification is implemented in MAT-
355 LAB[®] 2015a on a PC with Intel Core i5 4200U processor of 2.30GHz, 4GB RAM, and
356 Windows 10 operating system. The 10-fold cross-validation is repeated 10 times and
357 the mean (μ) and standard deviation (σ) of some well established metrics – Classification
358 Accuracy, False Positive Rate (FPR), False Negative Rate (FNR), and Area under the ROC
359 Curve (A_z) are used for performance evaluation. Since, the method emphasizes on both
360 feature extraction and selection, we present the results in two parts. First, without using
361 any feature selection to evaluate the performance of different features in different regions
362 (E1 Experiments), followed by utilization of our proposed feature selection technique (E2
363 Experiments).

364 5.1. E1 Experiments

365 As discussed above, in E1 experiments, different sets of features, extracted from dif-
366 ferent regions, are analyzed separately as well as in combination to evaluated their per-
367 formance in classification using ANN and SVM classifiers directly without applying any
368 feature selection algorithm. The results are listed in Table 2 along with the total number of
369 features used in each experiment. The best result is obtained when all the features from all
370 regions are combined to train the classifiers. The combined features from all regions deliv-
371 ers an accuracy of $83.26 \pm 1.87\%$ with FPR $17.28 \pm 2.43\%$, FNR 15.80 ± 3.91 , and A_z value
372 of 0.94 ± 0.01 using ANN. Also, it is observed that the both texture- and orientation-based
373 features work well, whereas shape based features (Zernike Moments) perform consider-
374 ably poor in all observations. From Table 2, it can be inferred that textural and orientation
375 features extracted from Region R_1 perform better than any of the other combinations.

Table 2: E1 Experiments using ANN and SVM as classifiers. Best results are highlighted in boldface.

Region	Descriptor	Features	ANN				SVM			
			Accuracy (%)	FPR (%)	FNR (%)	A_z	Accuracy (%)	FPR (%)	FNR (%)	A_z
R_1	<i>GLCM</i>	14	82.41±1.33	23.34±3.16	10.52±1.03	0.92±0.01	84.44±1.71	16.95±2.04	14.50±3.16	0.92±0.01
R_1	<i>ACM1</i>	14	81.74±1.62	18.47±4.28	17.77±2.72	0.91±0.01	81.79±1.55	23.54±3.09	13.53±2.71	0.91±0.01
R_1	<i>ACM2</i>	14	82.07±1.17	17.56±1.70	17.84±1.73	0.90±0.02	79.96±1.74	29.47±4.34	11.75±2.15	0.89±0.01
R_1	<i>Zernike</i>	62	65.22±1.24	24.56±1.58	45.83±2.35	0.69±0.01	66.28±3.51	32.50±3.87	34.86±4.91	0.70±0.00
R_1	<i>Combined</i>	104	82.15±1.69	20.57±3.93	14.66±5.71	0.91±0.01	70.35±2.96	60.98±4.09	3.13±1.33	0.90±0.01
R_2	<i>GLCM</i>	14	81.81±1.41	22.52±2.67	12.61±3.45	0.91±0.01	75.07±2.18	23.82±4.59	25.53±2.95	0.91±0.00
R_2	<i>ACM1</i>	14	81.70±1.29	18.01±2.82	19.27±1.90	0.91±0.01	81.10±2.00	22.11±3.15	16.16±2.46	0.91±0.01
R_2	<i>ACM2</i>	14	78.59±1.12	19.89±2.89	22.64±2.49	0.88±0.01	67.83±1.95	42.84±2.65	22.61±3.70	0.75±0.01
R_2	<i>Zernike</i>	62	56.59±1.80	38.07±2.47	48.19±2.88	0.61±0.02	58.84±2.62	37.07±2.68	44.71±3.99	0.60±0.02
R_2	<i>Combined</i>	104	79.74±2.07	22.15±3.25	17.28±4.37	0.90±0.01	69.71±3.38	59.69±6.03	5.14±1.90	0.90±0.01
R_3	<i>GLCM</i>	14	82.00±1.50	22.14±2.68	12.90±2.08	0.89±0.02	80.18±1.42	22.53±2.16	17.60±1.66	0.89±0.01
R_3	<i>ACM1</i>	14	80.33±1.32	17.87±2.66	22.26±2.32	0.89±0.01	80.69±2.18	27.23±3.27	12.78±3.17	0.89±0.00
R_3	<i>ACM2</i>	14	79.00±1.33	19.52±2.64	21.82±2.57	0.88±0.01	76.29±2.64	30.91±4.60	17.56±3.31	0.86±0.00
R_3	<i>Zernike</i>	62	67.11±1.19	53.12±1.42	8.96±1.27	0.70±0.01	64.66±2.32	19.35±3.38	49.60±3.02	0.67±0.01
R_3	<i>Combined</i>	104	80.67±2.01	20.45±3.49	17.59±2.78	0.91±0.01	70.02±2.35	59.54±3.75	2.52±1.94	0.85±0.01
	<i>Combined GLCM</i>	42	82.70±1.98	21.54±2.74	12.42±2.31	0.91±0.01	82.78±1.66	29.44±2.47	5.16±0.99	0.91±0.00
	<i>Combined ACM1</i>	42	82.44±1.21	16.61±3.16	18.95±2.67	0.92±0.01	72.31±1.82	55.12±3.59	4.11±2.04	0.91±0.01
	<i>Combined ACM2</i>	42	82.22±2.07	16.54±3.19	18.99±4.17	0.91±0.02	69.43±1.98	60.98±2.13	2.45±1.18	0.78±0.00
	<i>Combined Zernike</i>	186	65.26±2.20	41.90±5.13	25.87±7.28	0.76±0.01	60.39±5.42	54.52±7.48	26.11±4.80	0.74±0.01
	<i>Combined Combined</i>	312	83.26±1.87	17.28±2.43	15.80±3.91	0.94±0.01	82.98±1.99	18.87±0.10	14.16±0.51	0.93±0.01

376 5.2. E2 Experiments

377 The E2 experiments are conducted to observe the classification performance after se-
378 lecting the most discriminative and non-redundant subset of features using our proposed
379 feature selection scheme. Since E1 experiments show that the best performance is achieved
380 by using a combined feature set extracted from all regions, we evolve the subset from the
381 pool of all extracted features from all regions ($F \in \mathbb{R}^{312}$). The objective functions are
382 analyzed in different combinations to evaluate their effect on feature selection and thus in
383 classification. The classification results are provided in Table 3 along with total number of
384 features selected. For conciseness, the combinations of the objective functions used in the
385 table are abbreviated, and are defined as follows:

$$\begin{aligned}
 OF_1 &= \{O_1\} \\
 OF_{1,2} &= \{O_1, O_2\} \\
 OF_{1,3} &= \{O_1, O_3\} \\
 OF_{1,2,3} &= \{O_1, O_2, O_3\}
 \end{aligned}$$

386 It must be noted that for OF_1 , we use simple binary Genetic Algorithm. It is clearly
387 evident that Parteo solutions evolved using $OF_{1,3}$ and $OF_{1,2,3}$ yield the best performance.
388 Also, these solutions have a significantly small feature dimensionality (11, 11, 6, 4) which
389 accounts to dimensionality reduction by over 95%. Table 4 reports the features selected

Table 3: E2 Experiments with two best evolved Pareto Solutions from full feature set f , using ANN and SVM as classifier

Objective Functions	# Features	ANN				SVM			
		Accuracy (%)	FPR (%)	FNR (%)	A_z	Accuracy (%)	FPR (%)	FNR (%)	A_z
OF_1	86	88.81±1.17	13.90±2.45	7.83±2.97	0.95±0.01	86.14±2.09	6.42±1.79	22.86±3.91	0.94±0.01
$OF_{1,2}$	6	87.33±1.43	18.43±1.79	5.90±1.31	0.92±0.00	88.53±1.85	15.48±2.53	6.99±2.59	0.92±0.01
$OF_{1,2}$	5	87.19±0.86	18.19±1.96	6.38±2.16	0.93±0.01	89.73±1.42	13.90±2.18	6.00±1.45	0.94±0.02
$OF_{1,3}$	11	88.56±0.91	16.78±0.97	5.02±1.37	0.94±0.00	87.01±2.53	13.22±2.60	12.85±3.26	0.93±0.00
$OF_{1,3}$	11	88.81±0.82	15.31±1.80	5.89±2.39	0.94±0.01	87.60±2.21	12.15±3.06	12.67±1.88	0.93±0.01
$OF_{1,2,3}$	6	89.44±1.58	13.46±2.67	9.08±2.70	0.96±0.01	87.17±1.52	12.28±1.94	11.44±2.16	0.95±0.00
$OF_{1,2,3}$	4	89.89±0.81	11.35±0.99	6.56±1.38	0.95±0.00	89.83±1.95	14.59±3.35	9.64±2.06	0.95±0.01

390 with different objective functions. It can be observed that most of the features selected
391 when objective function combination $OF_{1,2,3}$ is used are GLCM and ACM features, whereas
392 when the combination $OF_{1,3}$ is used the invariants dominate the Pareto solutions. This
393 shows that Zernike Moments of higher order (nearly 10) have ample representation power
394 for the classification of masses as benign or malignant, and can be coupled with texture-
395 and orientation-based (GLCM and ACM) features for good performance. However, ac-
396 cording to the results in Table 3, the combination $OF_{1,2,3}$ performs the best with a fair mar-
397 gin as compared to using $OF_{1,3}$ and achieved an accuracy 89.89 ± 0.81 , FPR 11.35 ± 0.99 ,
398 FNR 6.56 ± 1.38 , and A_z value 0.95 ± 0.01 for ANN with reducing feature dimensionality
399 by 98.71%. Similar performance was achieved by SVM using the same Pareto solution,
400 with accuracy 89.83 ± 1.95 , FPR 14.59 ± 3.35 , FNR 9.64 ± 2.06 and A_z 0.95 ± 0.01 .

401 6. Conclusion

402 In this paper we investigate the use of TRS invariant Zernike Moments as global shape
403 descriptors in combination with texture and directional edge information for classifica-
404 tion of mammographic masses into benign and malignant, and also propose an NSGA-II
405 based feature selection method with a novel set of three objective functions, optimized
406 simultaneously. The experiments show that although ZMs perform poorly as individual
407 features, they perform much better in tandem with other shape and texture features, where
408 some high order invariants proved to be highly representative and effective descriptors of
409 the shape. The proposed feature selection algorithm is also shown to be effective in re-
410 ducing feature dimensionality by over 95%, while still managing to increase classification
411 performance of the classifier when compared to using all extracted features.

Table 4: Features selected in the best performing Pareto solutions obtained using $OF_{1,2}$, $OF_{1,3}$, and $OF_{1,2,3}$

Objective Function	#Features	Selected Features index(region)(feature_name)
$OF_{1,2}$	6	4(R_1)(GLCM), 6(R_1)(GLCM), 53(R_1)(ACM1), 93(R_1)(ACM2), 147(R_1)(INVTS), 275(R_3)(INVTS)
$OF_{1,2}$	5	4(R_1)(GLCM), 64(R_1)(GLCM), 53(R_1)(ACM1), 93(R_1)(ACM2), 147(R_1)(INVTS)
$OF_{1,3}$	11	4(R_1)(GLCM), 6(R_1)(GLCM), 79(R_3)(ACM1), 93(R_1)(ACM2), 169(R_1)(INVTS), 225(R_3)(INVTS), 246(R_3)(INVTS), 261(R_3)(INVTS), 292(R_3)(INVTS), 300(R_3)(INVTS), 312(R_3)(INVTS)
$OF_{1,3}$	11	4(R_1)(GLCM), 6(R_1)(GLCM), 29(R_3)(GLCM), 79(R_3)(ACM1), 93(R_1)(ACM2), 169(R_1)(INVTS), 225(R_2)(INVTS), 246(R_2)(INVTS), 261(R_3)(INVTS), 292(R_3)(INVTS), 312(R_3)(INVTS)
$OF_{1,2,3}$	6	4(R_1)(GLCM), 7(R_1)(GLCM), 34(R_3)(GLCM), 74(R_3)(ACM1), 83(R_3)(ACM1), 93(R_1)(ACM2)
$OF_{1,2,3}$	4	4(R_1)(GLCM), 29(R_3)(GLCM), 74(R_3)(ACM1), 93(R_1)(ACM2)

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